Exhibit 300 (BY2009)

PART ONE	
OVERVIEW	
1. Date of Submission:	2007-09-10
2. Agency:	015
3. Bureau:	45
4. Name of this Capital Asset:	Counsel Automated Systems Environment (CASE)
5. Unique Project Identifier:	015-45-01-12-01-2265-00

6. What kind of investment will this be in FY2009?
Operations and Maintenance
7. What was the first budget year this investment was submitted to OMB?
FY2001 or earlier
8. Provide a brief summary and justification for this investment, including a brief description of how this closes in part or in whole an identified agency performance gap.
Counsel Automated Systems Environment (CASE) is a fully integrated steady-state automation initiative that encompasses not only office automation tools and custom applications utilized by attorneys, but also the hardware/software infrastructure including staff costs to support ongoing maintenance of CASE. CASE meets unique needs that are out of scope of the current IRS modernization efforts, plans, and schedules. Noteworthy, since the implementation of CASE, Counsel attorneys' and other Counsel personnel have used it extensively, making it a vital tool for Counsel employee's to use to accomplish their goals effectively and efficiently.
9. Did the Agency's Executive/Investment Committee approve this request?
yes
9.a. If "yes," what was the date of this approval?
2007-08-16
10. Did the Project Manager review this Exhibit?
yes
11. Project Manager Name:
Streeter, Christipher
Project Manager Phone:
202-622-3560
Project Manager Email:
Christipher.M.Streeter@irscounsel.treas.gov
11.a. What is the current FAC-P/PM certification level of the project/program manager?
TBD
12. Has the agency developed and/or promoted cost effective, energy-efficient and environmentally sustainable techniques or practices for this project.
yes
12.a. Will this investment include electronic assets (including computers)?
yes
12.b. Is this investment for new construction or major retrofit of a Federal building or facility? (answer applicable to non-IT assets only)
no
13. Does this investment directly support one of the PMA initiatives?
yes

If yes, select the initiatives that apply:	
Expanded E-Government	

13.a. Briefly and specifically describe for each selected how this asset directly supports the identified initiative(s)? (e.g. If E-Gov is selected, is it an approved shared service provider or the managing partner?)

Counsel Automated Systems Environment (CASE) supports Expanded E-Government by providing infrastructure & Support necessary for Chief Counsel Attorneys to deliver the legal expertise necessary for the IRS to fulfill its commitments on Expanding E-Government. This goal is one of the five key elements of the President's Management Agenda (PMA). Counsel's role in this initiative encompass all four E-Government portfolios: Government to Citizen (G2C); Government to Business (G2B); Government to Government (G2G); and Internal Efficiency and Effectiveness (IEE).

14. Does this investment support a program assessed using the Program Assessment Rating Tool (PART)?

no

15. Is this investment for information technology?

yes

16. What is the level of the IT Project (per CIO Council's PM Guidance)?

Level 2

17. What project management qualifications does the Project Manager have? (per CIO Council's PM Guidance)

(1) Project manager has been validated as qualified for this investment

18. Is this investment identified as high risk on the Q4 - FY 2007 agency high risk report (per OMB memorandum M-05-23)?

yes

19. Is this a financial management system?

no

19.a.1. If yes, which compliance area:

Not Applicable (N/A)

19.a.2. If no, what does it address?

Not Applicable (N/A)

19.b. If yes, please identify the system name(s) and system acronym(s) as reported in the most recent financial systems inventory update required by Circular A11 section 52.

Not Applicable (N/A)

20. What is the percentage breakout for the total FY2008 funding request for the following? (This should total 100%)

Hardware	12
Software	1
Services	74
Other	13

21. If this project produces information dissemination products for the public, are these products published to the Internet in conformance with OMB Memorandum 05-04 and included in your agency inventory, schedules and priorities?

n/a

22. Contact information of individual responsible for privacy related questions.

Name

Carlos Moura

Phone Number

202- 927-0730

Title

Management and Program Analyst

Email

carlos.moura@irs.gov

23. Are the records produced by this investment appropriately scheduled with the National Archives and Records Administration's approval?	
yes	
24. Does this investment directly support one of the GAO High Risk Areas?	
no	

SUMMARY OF SPEND

1. Provide the total estimated life-cycle cost for this investment by completing the following table. All amounts represent budget authority in millions, and are rounded to three decimal places. Federal personnel costs should be included only in the row designated Government FTE Cost, and should be excluded from the amounts shown for Planning, Full Acquisition, and Operation/Maintenance. The total estimated annual cost of the investment is the sum of costs for Planning, Full Acquisition, and Operation/Maintenance. For Federal buildings and facilities, life-cycle costs should include long term energy, environmental, decommissioning, and/or restoration costs. The costs associated with the entire life-cycle of the investment should be included in this report.

All amounts represent Budget Authority

	PY-1 & Earlier	PY	CY
	-2006	2007	2008
Planning Budgetary Resources	0.000	0.000	0.000
Acquisition Budgetary Resources	0.000	0.000	0.000
Maintenance Budgetary Resources	12.877	12.870	13.180
Government FTE Cost	14.159	14.159	14.321
# of FTEs	129	129	129

Note: For the cross-agency investments, this table should include all funding (both managing partner and partner agencies).

Government FTE Costs should not be included as part of the TOTAL represented.

2. Will this project require the agency to hire additional FTE's?

no

2.a. If "yes," how many and in what year?

Not Applicable (N/A).

3. If the summary of spending has changed from the FY2008 President's budget request, briefly explain those changes.

Requested amount was approved. The CFO Office used the actual labor dollars to project the FY2008 and FY 2009 amounts.

PERFORMANCE

In order to successfully address this area of the exhibit 300, performance goals must be provided for the agency and be linked to the annual performance plan. The investment must discuss the agency's mission and strategic goals, and performance measures (indicators) must be provided. These goals need to map to the gap in the agency's strategic goals and objectives this investment is designed to fill. They are the internal and external performance benefits this investment is expected to deliver to the agency (e.g., improve efficiency by 60 percent, increase citizen participation by 300 percent a year to achieve an overall citizen participation rate of 75 percent by FY 2xxx, etc.). The goals must be clearly measurable investment outcomes, and if applicable, investment outputs. They do not include the completion date of the module, milestones, or investment, or general goals, such as, significant, better, improved that do not have a quantitative measure.

Agencies must use the following table to report performance goals and measures for the major investment and use the Federal Enterprise Architecture (FEA) Performance Reference Model (PRM). Map all Measurement Indicators to the corresponding Measurement Area and Measurement Grouping identified in the PRM. There should be at least one Measurement Indicator for each of the four different Measurement Areas (for each fiscal year). The PRM is available at www.egov.gov. The table can be extended to include performance measures for years beyond FY 2009.

	Fiscal Year	Strategic Goal Supported	Measurement Area	Measurement Grouping	Measurement Indicator	Baseline	Planned Improvement to the	Actual Results

							Baseline	
1	2006	Manage the U.S. Government's Finances Effectively	Customer Results	Delivery Time	Increase tax court closures by 3%.	Projected Tax Court Litigation Program '06: Closures + 22,900	Increase cases completed by 3%; Data tracked is based on the count of cases closed during the quarter; Data entered is reported cumulatively during the fiscal year;	Tax Court Litigation Program '06: Closures + 24,820;
2	2006	Manage the U.S. Government's Finances Effectively	Processes and Activities	Productivity	Increase Legal Advice closures;	Projected Legal Advice programs '06: Closures = 14,000.	Increase number of cases completed;	Legal Advice Programs '06: Closures + 13,929;
3	2006	Manage the U.S. Government's Finances Effectively	Technology	Availability	Maintain the timeliness rate goal of 90 percent of cases closed within 90 days while experiencing varying/increasing workload levels and greater complexity of case workload;	Projected Legal Advice Programs '06: Timeliness = 90%;	Continue to meet the timeliness goal of 90%;	Legal Advice Programs '06: Timeliness = 94%;
4	2006	Manage the U.S. Government's Finances Effectively	Mission and Business Results	Taxation Management	Increase Published Guidance Items published by 5%;	Projected Published Guidance '06: Published Guidance Items published = 330;	Increase the number of Published Guidance Items published;	Published Guidance '06: Closures = 269;
5	2007	Manage the U.S. Government's Finances Effectively	Technology	Availability	Tax Court Litigation Program Closure; Given system is fully operational	24,820	Increase cases closed by 3%	Tax Court Litigation Program '07 Quarter 3 Closures + 20,116;
6	2007	Manage the U.S. Government's Finances Effectively	Processes and Activities	Productivity	Closures: Legal Advice	13,929	Increase number of cases completed, Fiscal Year 07 by 3%	Legal Advice Programs '07 Q3 Closures = 10,758;
7	2007	Manage the U.S. Government's Finances Effectively	Customer Results	Delivery Time	Timeliness: Legal Advice Programs; Timeliness	94%	Continue to meet 90% Timeliness effort; Fiscal Year 07;	Legal Advice Programs '07 Q3; Timeliness = 95%;

8	2007	Manage the U.S. Government's Finances Effectively	Mission and Business Results	Taxation Management	Increase Published items;	269	Increase the number of Published Guidance Items Fiscal Year 07 by 5%;	Published Guidance Items '07 Quarter 3 published = 289;
9	2008	Manage the U.S. Government's Finances Effectively	Customer Results	Delivery Time	Tax Court Litigation Program closures;	3%	Increase number of cases closed by 5%;	
10	2008	Manage the U.S. Government's Finances Effectively	Processes and Activities	Productivity	Closures: Legal Advice	3%	Increase the number of cases completed by 5%;	
11	2008	Manage the U.S. Government's Finances Effectively	Technology	Availability	Availability; Legal Advide Programs and Guidance;	94%	Continue to Meet 94% Availability Effort;	
12	2008	Manage the U.S. Government's Finances Effectively	Mission and Business Results	Taxation Management	Published Guidance;	269	Increase number or Published Guidance Items by 5%;	
13	2009	Manage the U.S. Government's Finances Effectively	Customer Results	Delivery Time	Tax Court Litigation Program Closures;	3%	Increase Tax Court Litigation Program Closures by 5%;	
14	2009	Manage the U.S. Government's Finances Effectively	Processes and Activities	Productivity	Legal Advice Programs;	3%	Increase cases closed by 6%; Data tracked is based on the count of cases closed during the quarter, Full-Time Equivalent (FTE) data entered and is reported cumulatively during the fiscal year;	
15	2009	Manage the U.S. Government's Finances Effectively	Technology	Availability	Availability: Legal Advice Programs and guidance;	94%	Continue to meet 94% Availability effort;	
16	2009	Manage the U.S. Government's Finances Effectively	Mission and Business Results	Taxation Management	Published Guidance;	553	Increase the number of Published Guidance Items published by 5%;	

17	2010	Manage the U.S. Government's Finances Effectively	Customer Results	Delivery Time	Tax Court Litigation Porgram Closures;	6%	Increase cases closed by 5%;	
18	2010	Manage the U.S. Government's Finances Effectively	Processes and Activities	Productivity	Closures: Legal Advice Programs;	16,439	Increase number of cases completed by 5%;	
19	2010	Manage the U.S. Government's Finances Effectively	Technology	Availability	Availability Legal Advice Programs and guidance;	94%	Continue to meet 94% Availability Effort;	
20	2010	Manage the U.S. Government's Finances Effectively	Mission and Business Results	Taxation Management	Published Guidance;	553	Increase the number of Published Guidance Items published by 5%;	

EA

In order to successfully address this area of the business case and capital asset plan you must ensure the investment is included in the agency's EA and Capital Planning and Investment Control (CPIC) process, and is mapped to and supports the FEA. You must also ensure the business case demonstrates the relationship between the investment and the business, performance, data, services, application, and technology layers of the agency's EA.

1. Is this investment included in your agency's target enterprise architecture?

yes

2. Is this investment included in the agency's EA Transition Strategy?

yes

2.a. If yes, provide the investment name as identified in the Transition Strategy provided in the agency's most recent annual EA Assessment.

Although Counsel Automated Systems Environment (CASE) predates the Internal Revenue Service (IRS) Enterprise Architecture (EA), the IRS EA did "grandfather" CASE in by explicitly adopting it in the "As Built Architecture" (ABA) section of the IRS EA. In 2004, the IRS updated its Enterprise Architecture (version 2.5) and explicitly set forth the operation of Counsel Automated Systems Environment (CASE) (see excerpt below). The Internal Revenue Service (IRS) utilizes capital planning investment control process to ensure projects conform to the IRS Enterprise Architecture/Treasury EA/Framework (TEAF).

3. Is this investment identified in a completed (contains a target architecture) and approved segment architecture?

no

4. Identify the service components funded by this major IT investment (e.g., knowledge management, content management, customer relationship management, etc.). Provide this information in the format of the following table. For detailed guidance regarding components, please refer to http://www.whitehouse.gov/omb/egov/.

Component: Use existing SRM Components or identify as NEW. A NEW component is one not already identified as a service component in the FEA SRM.

Reused Name and UPI: A reused component is one being funded by another investment, but being used by this investment. Rather than answer yes or no, identify the reused service component funded by the other investment and identify the other investment using the Unique Project Identifier (UPI) code from the OMB Ex 300 or Ex 53 submission.

Internal or External Reuse?: Internal reuse is within an agency. For example, one agency within a department is reusing a service component provided by another agency within the same department. External reuse is one agency within a department reusing a service component provided by another agency in another department. A good example of this is an E-Gov initiative service being reused by multiple organizations across the federal government.

Funding Percentage: Please provide the percentage of the BY requested funding amount used for each service component listed in the table. If external, provide the funding level transferred to another agency to pay for the service.

Agency Component Name	Agency Component Description	Service Type	Component	Reused Component	Reused UPI	Internal or	Funding %

				Name		External Reuse?	
1	Self-Service	User may initiate Help Desk Ticket	Customer Initiated Assistance	Self-Service			No Reuse	3
2	Online Help	CASE has online help built into products.	Customer Initiated Assistance	Online Help			No Reuse	3
3	Configuration Management	System tracks the current configuration and changes requests.	Management of Processes	Configuration Management			No Reuse	3
4	Requirements Management	System tracks requirements entered in to the system design functions	Management of Processes	Requirements Management			No Reuse	3
5	Program/Project Management	System has sophisticated project tracking and project management components to track development of regulations and system upgrades.	Management of Processes	Program / Project Management			No Reuse	3
6	Skills Management	System has on-line communications/collaboration;	Investment Management	Performance Management			No Reuse	3
7	Network Management	Tracks networks performance and current status of Counsel wide area network.	Organizational Management	Network Management			No Reuse	3
8	Case Management	Counsel Automated Systems Environment (CASE) has a CASE- Management Information System (MIS) Uniformed Issue list tracking.	Tracking and Workflow	Case Management			No Reuse	3
9	Performance Management	Counsel Automated Systems Environment (CASE) supports Network Services;	Knowledge Management	Knowledge Distribution and Delivery			No Reuse	3
10	Document Imagining and Optical Character Recognition (OCR)	Counsel scans large volumes of taxpayer case related data.	Document Management	Document Imaging and Optical Character Recognition (OCR)			No Reuse	3
11	Document Revisions	Counsel produces legal documents related to specific taxpayers and redacted version of those documents.	Document Management	Document Revisions			No Reuse	3
12	Indexing	Pursuant to litigation discovery, CASE indexes taxpayer provided documents for quick reference during case trials.	Document Management	Indexing			No Reuse	3
13	Information Mapping/Taxonomy	Counsel Automated Systems Environment (CASE) Uniform Issue List (UIL)allows for Complete taxonomy for all our case/issue tracking.	Knowledge Management	Information Mapping / Taxonomy			No Reuse	3
14	Information Sharing	Counsel Automated Systems Environment (CASE) allows documents to be stored on	Knowledge Management	Information Sharing			No Reuse	3

		shared servers for multiple user to access and revise.						
15	Decision Support and Planning	Counsel Automated Systems Environment (CASE) track historical data and allows projection of future revenue recovery and staffing levels.	Business Intelligence	Decision Support and Planning			No Reuse	3
16	Demand Forecasting/Mgmt	Counsel Automated Systems Environment (CASE) track historical data and allows projection of future demands for services in different market segments.	Business Intelligence	Demand Forecasting / Mgmt			No Reuse	3
17	Standardized/Canned	Counsel Automated Systems Environment (CASE) utilizes standard reports for reoccurring requests.	Reporting	Standardized / Canned			No Reuse	3
18	Data Exchange	Counsel Automated Systems Environment (CASE) system exchanges addresses with IRS email systems and Treasury email systems.	Data Management	Data Exchange			No Reuse	3
19	Data Cleansing	Counsel Automated Systems Environment (CASE) system allows users to correct and update data.	Data Management	Data Cleansing			No Reuse	3
20	Loading and Archiving	Counsel Automated Systems Environment (CASE) system data is archived off the system at critical intervals and loads data from Treasury and TLCATS systems.	Data Management	Loading and Archiving			No Reuse	3
21	Time Reporting	Counsel Automated Systems Environment (CASE) has a time reporting function for reporting the amount of time spent on administrative functions as well as individual cases. This allows management to make accurate projections for future needs.	Human Resources	Time Reporting			No Reuse	3
22	Software Development	Counsel Automated Systems Environment (CASE) has tools for development of custom applications.	Development and Integration	Software Development			No Reuse	3
23	Identification and Authentication	Counsel Automated Systems Environment (CASE) has unique identifiers for each users and require authentication for access.	Security Management	Identification and Authentication			No Reuse	3
24	Digital Signature Management	Counsel Automated Systems Environment (CASE) has digital signature capability for its electronic mail	Security Management	Digital Signature Management			No Reuse	3
25	Cryptography	Counsel Automated Systems Environment (CASE)E has encryption on network lines,	Security Management	Cryptography			No Reuse	3

		password files, and email system							
26	Audit Trail Capture and Analysis	Counsel Automated Systems Environment (CASE) has audit trails and analysis tools.	Security Management	Audit Trail Capture and Analysis				No Reuse	3
27	Access Control	Counsel Automated Systems Environment (CASE) has roles and privilege management associated with users and administrators.	Security Management	Access Control				No Reuse	3
28	Access Control	Counsel Automated Systems Environment (CASE) has user management to manage user accounts.	Security Management	Access Control				No Reuse	3
29	Information Management	Counsel Automated Systems Environment (CASE) uses secure card technology for remote access verification to a system	Knowledge Management	Information Sharing				No Reuse	3
30	Email	Counsel Automated Systems Environment (CASE) has a nation wide email system.	Collaboration	Email				No Reuse	3
31	Query	Users may search Counsel Automated Systems Environment (CASE) databases based on structured Query Language (SQL) queries.	Search	Query				No Reuse	3
32	Real Time/Chat	Users may use real time chat for collaboration.	Communication	Real Time / Chat				No Reuse	3

5. To demonstrate how this major IT investment aligns with the FEA Technical Reference Model (TRM), please list the Service Areas, Categories, Standards, and Service Specifications supporting this IT investment.

FEA SRM Component: Service Components identified in the previous question should be entered in this column. Please enter multiple rows for FEA SRM Components supported by multiple TRM Service Specifications.

Service Specification: In the Service Specification field, Agencies should provide information on the specified technical standard or vendor product mapped to the FEA TRM Service Standard, including model or version numbers, as appropriate.

	SRM Component	Service Area	Service Category	Service Standard	Service Specification (i.e., vendor and product name)
1	Self-Service	Service Access and Delivery	Delivery Channels	Intranet	Remedy Version 4.0
2	Online Help	Service Access and Delivery	Access Channels	Web Browser	Internet Explorer - Version 6.0
3	Email	Service Access and Delivery	Access Channels	Wireless / PDA	Blackberry - 8700c
4	Skills Management	Service Access and Delivery	Access Channels	Collaboration / Communications	Microsoft Net Meeting Version 3.01
5	Real Time / Chat	Service Access and Delivery	Access Channels	Collaboration / Communications	Microsoft Outlook 2003
6	Loading and Archiving	Service Access and Delivery	Access Channels	Other Electronic Channels	HP-LDSU

7	Cryptography	Service Access and Delivery	Access Channels	Other Electronic Channels	System to System
8	Data Exchange	Service Access and Delivery	Access Channels	Other Electronic Channels	Microsoft Windows 2003
9	Cryptography	Service Access and Delivery	Service Transport	Service Transport	File Transfer Protocol (FTP)
10	Cryptography	Service Access and Delivery	Delivery Channels	Virtual Private Network (VPN)	Safenet
11	Standardized / Canned	Service Platform and Infrastructure	Database / Storage	Database	Oracle - IBMP660AIX
12	Information Mapping / Taxonomy	Service Access and Delivery	Service Requirements	Legislative / Compliance	Security
13	Software Development	Service Platform and Infrastructure	Database / Storage	Database	Oracle - IBMP660AIX
14	Real Time / Chat	Service Access and Delivery	Access Channels	Collaboration / Communications	Microsoft, Outlook 2003
15	Information Sharing	Service Access and Delivery	Service Transport	Supporting Network Services	Simple Network Management Protocol (SNMP)
16	Time Reporting	Service Platform and Infrastructure	Database / Storage	Database	Oracle - IBMP660AIX
17	Document Revisions	Service Platform and Infrastructure	Support Platforms	Platform Dependent	Microsoft, Windows 2003 SMS
18	Information Sharing	Service Access and Delivery	Service Transport	Service Transport	Microsoft, Windows 2003 SMS
19	Access Control	Service Access and Delivery	Service Transport	Supporting Network Services	Microsoft Exchange - Version 5.5
20	Document Revisions	Service Platform and Infrastructure	Support Platforms	Platform Dependent	Microsoft, Windows 2003
21	Self-Service	Service Access and Delivery	Delivery Channels	Intranet	Microsoft, Windows 2003
22	Information Sharing	Service Access and Delivery	Service Transport	Service Transport	Transmission Control Protocol (TCP)
23	Travel Management	Service Platform and Infrastructure	Database / Storage	Database	Oracle - IBMP660AIX
24	Document Revisions	Service Platform and Infrastructure	Support Platforms	Platform Independent	SUN J2EE - Version 1.4
25	Document Revisions	Service Platform and Infrastructure	Support Platforms	Platform Dependent	Microsoft, Windows 2003
26	Data Cleansing	Service Platform and Infrastructure	Database / Storage	Database	Structured Query Language (SQL) Server - Version 2005
27	Document Revisions	Service Platform and Infrastructure	Delivery Servers	Web Servers	Internet Information Server - Version 6.0
28	Configuration Management	Service Platform and Infrastructure	Software Engineering	Software Configuration Management	Microsoft Source Safe - Version 6.0
29	Requirements Management	Service Platform and Infrastructure	Software Engineering	Software Configuration Management	Oracle Designer - Version 9.i
30	Program / Project Management	Service Platform and Infrastructure	Software Engineering	Software Configuration Management	Microsoft, Project - Version 2003

31	Software Development	Service Platform and Infrastructure	Software Engineering	Software Configuration Management	Oracle Designer - Version 9.i
32	Real Time / Chat	Service Access and Delivery	Access Channels	Collaboration / Communications	Microsoft Exchange Version 5.5
33	Software Development	Service Platform and Infrastructure	Software Engineering	Test Management	Clearquest - Version 2003
34	Demand Forecasting / Mgmt	Service Platform and Infrastructure	Database / Storage	Database	Oracle - IBMP660AIX
35	Software Development	Service Platform and Infrastructure	Software Engineering	Modeling	Rational Rose - Version 2003
36	Indexing	Service Platform and Infrastructure	Database / Storage	Database	Oracle -IBMP660AIX
37	Query	Service Platform and Infrastructure	Database / Storage	Database	Summation Version 2.0
38	Decision Support and Planning	Service Platform and Infrastructure	Database / Storage	Database	Oracle - IBMP660AIX
39	Software Development	Service Platform and Infrastructure	Database / Storage	Storage	IBM Storage Area Network (SAN) - DS6800
40	Identification and Authentication	Component Framework	Security	Supporting Security Services	Microsoft Exchange (S/MIME) - Version 2003
41	Audit Trail Capture and Analysis	Service Platform and Infrastructure	Hardware / Infrastructure	Servers / Computers	Aletia Enterprise Server - Version 7.1
42	Cryptography	Service Platform and Infrastructure	Hardware / Infrastructure	Network Devices / Standards	Data Encryption Units
43	Document Imaging and OCR	Service Platform and Infrastructure	Hardware / Infrastructure	Peripherals	Cannon Scanner -CANNON DR5020
44	Cryptography	Service Platform and Infrastructure	Hardware / Infrastructure	Network Devices / Standards	Brio - Version 6.5
45	Software Development	Service Platform and Infrastructure	Hardware / Infrastructure	Network Devices / Standards	CISCO IDS
46	Software Development	Service Platform and Infrastructure	Database / Storage	Database	Oracle - IBMP660AIX
47	Software Development	Service Platform and Infrastructure	Database / Storage	Database	Summation Version 2.0
48	Network Management	Service Access and Delivery	Service Transport	Supporting Network Services	Microsoft Exchange (MIME) Version 2003
49	Performance Management	Service Access and Delivery	Service Transport	Supporting Network Services	Microsoft Exchange (ESMTP) - Version 2003
50	Digital Signature Management	Component Framework	Security	Certificates / Digital Signatures	Microsoft Exchange Version 5.5
51	Cryptography	Component Framework	Security	Certificates / Digital Signatures	Microsoft Exchange Version 5.5
52	Identification and Authentication	Component Framework	Security	Supporting Security Services	Microsoft Windows 2003
53	Software Development	Service Platform and Infrastructure	Database / Storage	Database	Oracle - IBMP660AIX
54	Software Development	Service Platform and Infrastructure	Database / Storage	Database	Oracle - IBMP660AIX
55	Self-Service	Service Access and Delivery	Delivery Channels	Intranet	Microsoft Windows 2003

56	Real Time / Chat	Service Access and Delivery	Access Channels	Collaboration / Communications	Microsoft Exchange Version 5.5
57	Case Management	Service Platform and Infrastructure	Database / Storage	Database	Oracle - IBMP660AIX

6. Will the application leverage existing components and/or applications across the Government (i.e., FirstGov, Pay.Gov, etc)?

yes

6.a. If yes, please describe.

Counsel Automated Systems Environment (CASE) leverages existing components such as human resources applications. CASE continues to look for opportunities for appropriate leveraging. CASE utilizes the Internal Revenue Service website, IRS.gov as its interface for citizens.

PART THREE

RISK

You should perform a risk assessment during the early planning and initial concept phase of the investmentâ€™s life-cycle, develop a risk-adjusted life-cycle cost estimate and a plan to eliminate, mitigate or manage risk, and be actively managing risk throughout the investmentâ€™s life-cycle.

Answer the following questions to describe how you are managing investment risks.

1. Does the investment have a Risk Management Plan?

yes

1.a. If yes, what is the date of the plan?

2007-08-08

1.b. Has the Risk Management Plan been significantly changed since last year's submission to OMB?

no

COST & SCHEDULE

1. Was operational analysis conducted?

yes

1.a. If yes, provide the date the analysis was completed.

2007-09-30

What were the results of your operational analysis?

An operational analysis and E-Government review were completed April 9, 2007 and overwhelmingly revealed a positive response to Counsel Automated Systems Environment (CASE) from the user via the customer survey. The review also indicated that the system didn't require replacement. CASE continues to support Treasury and Internal Revenue Service (IRS) strategic goals. The operational analysis and E-Government review is conducted annually by the Modernization and Information Technology Division Information Officer and the Chief Counsel Business Systems Partner. It consists of an analysis of the E-Service Level Agreement Compliance, Annual Customer Satisfaction Survey, statistics from Help Desk Reports, Quality assurance Problem Reports, the Counsel Business Performance Review, and budget reviews. The Planning and Management Division in the Associate Chief Counsel (Finance and Management), conducts the annual Counsel Automated Systems Environment (CASE) Customer Satisfaction Survey and prepares the Counsel Business Performance Review. The Counsel Business Systems Planner (BSP) conducts the E-Government Strategy Review annually. The Counsel Help Desk personnel collects data for all problems within the Counsel infrastructure and applications, and provides weekly statistical reports to the Counsel Division Information Office (DIO), and the Business Systems Planner (BSP). The Counsel Financial Management Division prepares a monthly Status of Allocation that is reviewed by the Counsel Division Information Office (DIO) to ensure all costs relating to CASE are properly allocated in the Integrated Financial System (IFS). Additionally, the CFMD prepares quarterly Earned Value Analysis reports on costs, schedule, and performance of the Counsel Automated Systems Environment (CASE).